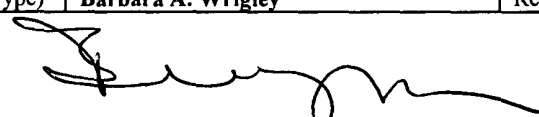


Please type a plus sign (+) inside this box ☐

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))		Attorney Docket No. 10/9408 First Inventor Alan Maki Title Polyurethane Laminates for Photochromic Lenses Express Mail Label No. EU203989598US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27) 3. <input checked="" type="checkbox"/> Specification Total Pages (27) (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Informal Drawing(s) (35 U.S.C. 113) Total Sheets (3) 5. <input type="checkbox"/> Oath or Declaration a. <input type="checkbox"/> Unexecuted (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies	
		ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of prior application no.: _____ (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: _____	
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: _____ Prior application information: Examiner: _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
18. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer No. or Bar Code Label		<input type="checkbox"/> Correspondence address below (insert Customer No. or Attach bar code label here)	
Address Oppenheimer Wolff & Donnelly LLP 45 South Seventh Street, Suite 3300			
City	Minneapolis	State	Minnesota
Country	US	Telephone	612.607.7595
Name (Print/Type)	Barbara A. Wrigley	Zip Code	55402-1609
		Fax	612.607.7100
		Registration No. (Attorney/Agent)	34,950
Signature 		Date July 30, 2003	

07/30/03

01576 U.S. PTO

FEE TRANSMITTAL**for FY 2003**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	July 30, 2003
First Named Inventor	Alan Maki
Examiner Name	
Group Art Unit	
Attorney Docket No.:	10 9408

TOTAL AMOUNT OF PAYMENT \$1,176.00**METHOD OF PAYMENT**1. ☒ Authorized to charge indicated fees or credit

Deposit
Acct No.
Name:

50-1901
Oppenheimer Wolff & Donnelly LLP

☒ Charge Any Add'l Fee Required
Under 37 CFR 1.16 and 1.17
Applicant Claims Small Entity

2. ☐ Payment Enclosed:
Check ☐ Credit

FEE CALCULATION

1. BASIC FILING FEE			
Large Fee	Small Fee	Fee description	Fee Paid
750	375	Utility filing fee	\$750.00
330	165	Design filing fee	
520	260	Plant filing fee	
750	375	Reissue filing fee	
160	80	Provisional filing fee	
SUBTOTAL (1)			\$750.00

2. EXTRA CLAIM FEES			
Claims	Extra Claims	Fee	Fee Paid
39 - 20	19	18/9	\$342.00
Ind. 4 - 3 =	1	84/42	\$84.00
Multiple Dependent		280/140	

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$426.00

**or number previously paid, if great; For Reissues, see above

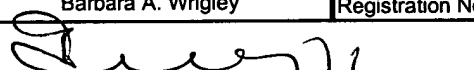
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	Filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,480*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,960	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					
SUBTOTAL (3)					\$0.00

*Reduced by Basic Filing Fee

SUBMITTED BY

Complete (if applicable)

Name	Barbara A. Wrigley	Registration No.:	34,950	Telephone:	(612) 607-7595
Signature		Date:	July 30, 2003		

UTILITY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Maki, et al.
Filed : Herewith
Title : POLYURETHANE LAMINATES FOR
PHOTOCHROMIC LENSES
Docket No : 10-9408

CERTIFICATE OF EXPRESS MAIL

EXPRESS MAIL Mailing Label Number: EU203989598US
Date of Deposit: July 30, 2003

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paper(s) Enclosed:

- ☒ Certificate of Express Mail
- ☒ Postcard
- ☒ Application Data Sheet
- ☒ Utility Patent Application Transmittal
- ☒ Fee Transmittal
- ☒ Information Disclosure Statement and Form 1449 (English translations – 8 pages)
- ☒ Patent Application including, specification (21 pages), claims (5 pages) and abstract (1 page)
- ☒ Informal Drawings (3 pages)

By: Pamela J. Pederson
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